

Course title: Financial Intermediaries, Institutions and Regulations				
Course code: PPM 125	No. of credits: 2	L-T-P distribution: 28-02-00	Learning hours: 30	
Pre-requisite course code and title (if any):				
Department: Policy and Management Studies				
Course coordinator(s):		Course instructor(s):		
Contact details:				
Course type: Elective		Course offered in: 3		
Course description				
<p>It's not only important to create wealth. It's more important to ensure that such wealth is best managed. Unless it's efficiently channelized to the best possible investments, no nation can grow. Financial intermediation is a process that helps the prospective saver-investors facilitate the productive use of the surplus wealth with reduced risk of judgment. Financial intermediaries thus play a very important role in a nation's economy. Nations with stronger and better regulated network of financial institutions and markets are found to be better developed.</p> <p>This course is designed to familiarize the students with the Indian scenario in a global context. This will help them to understand and develop expertise for making the best of financial decisions as management professionals.</p>				
Course objectives				
<p>To develop an understanding of the working of various segments of the finance markets.</p> <p>To develop an understanding of the working of the financial system the manager has to interact with.</p> <p>To develop a holistic perspective about all the financial institutions and their economic significance.</p>				
Course contents				
Module	Topic	L	T	P
1.	Course Overview	2	0	0
2.	Economic growth and financial intermediation: an introduction to Indian financial system	2	0	0
3.	Types of financial institutions	2	0	0
4.	Financial markets and regulations	2	0	0
5.	Recent trends in Indian financial markets	2	0	0
6.	Introduction to market instruments and securities analysis	2	0	0
7.	Financial sector reforms	2	0	0
8.	Financial markets: Call money market Treasury bills market Commercial bills market Market for commercial papers Discount market Government securities market Securities market Financial derivatives market	4	0	0
9.	Institutions for market regulation and promotion and their functions: RBI SEBI	2	0	0
10.	Commercial banks and their activities	2	0	0
11.	Co-operative banks and their activities	2	0	0
12.	Non-Banking Intermediaries:			

	Provident funds Pension funds Mutual funds Insurance companies UTI	2	0	0
13.	Non-banking statutory financial organizations	2	0	0
14.	Case Studies	0	2	0
	Total	28	2	0
Evaluation criteria				
Test 1: Quiz 30%				
Test 2: Assignments/Projects 30%				
Test 3: written test 20%				
Test 4: Written test 20%				
Learning Outcome:				
After successful completion of the course, the students would be able to -				
<ul style="list-style-type: none"> • Develop a comprehensive understanding of the financial system & institutions of India. • Analyze the trends of Indian financial markets and functioning of its various segments. • Develop a holistic perspective about all the financial institutions and their functions. • Acquire knowledge about the regulatory framework of finance markets. 				
Pedagogy				
The course will be taught in 28 sessions of 60 minutes duration each. It will be a combination of interactive class lectures and project works undertaken by the students.				
References:				
1. Bhole LM & Mahakud (2000). Financial Institutions and Markets: Structure, Growth and Innovations. TATA McGraw Hill. 5 th edition. ND.				
2. References:				
3. Bhasin N. (2010). Financial Institutions and Financial Markets in India: Functioning and Reforms. New Century Publications. New Delhi.				
4. Fabozzi FJ & Modigliani (1998). Capital markets: Institutions and Instruments. PHI. 3 rd edition. NY.				
Additional information (if any)				
Student responsibilities: Attendance, timeline adherence for assignments, come prepared with readings when provided.				

Prepared by: Prof. Manipadma Datta

Course Reviewers:

1. Prof. Madhu Vij, FMS, DU
2. Dr. Ria Sinha, TERI, ND