Rules for PhD Programme

Preamble

TERI School of Advanced Studies (TERI SAS) provides a refreshing environment to achieve academic excellence. The Deemed to be University offers Ph.D. programs in a wide range of globally relevant areas of study like Natural resources management, Energy and environment, Economics, Policy studies, Management, Biotechnology, Data Science. and Social sciences etc.

Scope

This policy will be called "TERI School of Advanced Studies Ph.D. Rules-2024" and shall be applicable to Ph.D. students and Ph.D. supervisors of the deemed to be university.

A. Eligibility criteria for admission to Ph.D. programme:

1. The following are eligible to seek admission to the Ph.D. programme:

1.1. Candidates who have completed:

A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

1.2. Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed

¹ Students taking admissions in the PhD Programme will have to sign an agreement that s/he will be abided by the rule of PhD programme of TERI SAS.

or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

1.3. Requirements for full-time sponsored candidates

- 1.3.1.Sponsored candidates are required to submit a sponsorship certificate from their employers on an official letter head clearly stating that for the period of his/her studies under the programme, the candidate would be treated on duty with usual salary and allowances and that he/she will be fully relieved for the full period of the study and admissible fee of the candidate will be paid by the sponsoring organization.
- 1.3.2. Candidates seeking admissions to Ph.D. programmes on the basis of study leave must present a proof at the time of interview of the fact that they will be/have been granted study leave for a minimum period of three years.

1.4. Requirements for the part-time candidates

- 1.4.1.Such Candidates are required to submit a "No Objection Certificate" at the time of interview from their employer stating clearly that the candidate is permitted to pursue studies on a part-time basis and that:
 - 1.4.1.1. His/her official duties permit him/her to devote sufficient time for research;
 - 1.4.1.2. The candidate shall be provided full access to the facilities as may exist in the field of research;
 - 1.4.1.3. He/she shall be permitted to attend classes at the Deemed to be University as and when required;
- 1.4.2.Self-employed candidates need to provide a documentary proof of being in self-employment.

B. Admission:

- 2. Applications to the Ph.D. programme must be necessarily made on the Deemed to be University prescribed form. Admission details are further laid down in the Annexure I.
 - 2.1. Admission is subject to vacancies as available in the relevant areas of specializations.
 - 2.2. After the selection, the candidates will be formally admitted to the Ph.D. programme. The date of admission will be considered as the corresponding date of registration.
 - 2.3. Categories of admission:
 - 2.3.1. Full time with assistantship²/without assistantship

- 2.3.2. Full time with UGC/CSIR/DBT/another research scholarship scheme
- 2.3.3. Sponsored
- 2.3.4. Part-time

C. Duration of the programme:

- 1. Ph.D. programme shall be undertaken for a minimum duration of three years, including course work and for a maximum of four years, which can be extendable up to 6 years with every extension constituting for a period of 6 months (maximum of four (4) occasions), . The date of thesis submission shall be considered as the relevant benchmark for assessing the duration of Ph.D. programme. Beyond this, further extensions will be as per the criteria laid down in the 'D' Section below.
- 2. The women candidates and persons with disability (more than 40% disability) may be allowed a maximum possible relaxation of 2 years in the maximum duration; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years³ from the date of admission in the Ph.D. programme.
- 3. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme

D. Extension criteria:

The maximum time limit for submission of Ph.D. thesis⁴ may be extended by the Dean (Academics) based on a specific request by the Supervisor(s) concerned and duly recommended by the Student Research Committee (SRC) through Department Research Committee (DRC)/Centre Research Committee (CRC) as a special case for a period of one (1) year (on a maximum of two (2) occasions), through a process of re-registration. While recommending to the Dean (Academics), the DRC/CRC may consider one or more of the following criteria as accentuating circumstances (based on the actual evidence produced by the candidate):

- 3.1. Medical exigency.
- 3.2. Forced break due to an unforeseen employment requirement (in case of part-time candidates only).
- 3.3. Discontinuity in supervision (due to non-availability of Supervisor).
- 3.4. Change in focal area of research due to possible emergence of any new/unforeseen challenges in conducting research.

E. Conversion of Full time to Part time:

4. Full time candidate may be allowed to convert his/her registration into a Part time on the specific recommendation of the SRC to the Dean (Academics) through Head of the Department/Centre. However, such a change will be allowed only once during the entire course of study.

³ The request for relaxation by the candidate should reach the Supervisor prior to completion of 6 years. The Supervisor should forward the request to the Dean (Academics) through Head of the Department/Centre.

⁴ Over and above to the duration mentioned in the section C.3

F. Allocation/Eligibility of Research supervisor⁵:

- 5. Following are the eligibility criteria to be a research supervisor/co-supervisor:
 - 5.1. Any regular Professor of the Deemed to be University with at least five (5) research publications in refereed journals and any regular Associate/Assistant Professor of the deemed to be university with a Ph.D. degree and at least two (2) research publications in refereed journals may be recognized as a Research Supervisor.
 - 5.2. Only a full-time regular faculty member of the Deemed to be University can act as a Supervisor subject to conditions laid down at Para 7.0. The external members cannot be allowed as Supervisors. However, Co-Supervisor can be allowed in inter-disciplinary areas and/or as warranted by the research area from other departments of the Deemed to be University or from other related institutions with due approval of the SRC.
 - 5.3. The allocation of Research Supervisor for a selected research scholar shall be decided by the DRC/CRC of the concerned Department/Centre while considering various factors which may include number of existing scholars per Research Supervisor, the available specialization amongst the Supervisors and importantly, the research interests of the scholars as indicated by them in the application form or at the time of interview.
 - 5.4. A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as a Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.
 - 5.5. In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred⁶ to the Deemed to be University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the TERI SAS/faculty member from any funding agency. The scholar will however give due credit to the supervisor and TERI SAS for the part of research already done.
- 6. Re-allocation of Research Supervisor.

6.1. (a) In case a Research Supervisor leaves or retires TERI SAS before the student clears SRC thesis presentation, s/he may continue to supervise the scholar(s) in TERI SAS as external Co-Supervisor only.

However, the out-going supervisor will be considered as Co-supervisor, only if S/he wishes so and upon approval from DRC/CRC and only if the student has cleared the comprehensive examination (in old cases)/research proposal defence.

 $^{^5}$ Adapted from Section 6 of UGC gazette notification F. no. 14-4/2016(PS) dated 5 May 2016

The outgoing Supervisor may recommend a new Supervisor in proper consultation with the SRC and the scholar for due approval from the DRC/CRC. If, this process has not been completed before leaving of the faculty, then the DRC/CRC Chairperson shall initiate the process of change of Supervisor in consultation with erstwhile SRC members and the scholar. The erstwhile SRC members may be given preference for appointment as a new Supervisor.

(b) If the Supervisor leaves after the successful completion of the requirements of SRC thesis presentation by the student, s/he shall continue as the Supervisor if a request for the same is received three months before date of leaving/retiring by H/CoD from the outgoing Supervisor and the same is duly recorded in the DRC minutes.

To facilitate the scholar for submission of her/his thesis and take care of the timebound academic and administrative matters a faculty member of TERI SAS shall be appointed as 'officiating Supervisor' by D/CRC to facilitate the scholar for submission of her/his thesis and take care of the timebound academic and administrative matters. The name of the officiating Supervisor won't be appearing in the thesis. Due acknowledgement may be given to the officiating supervisor for his/her contributions by the student. If a co-Supervisor from Deemed to be University already exists, then S/he shall act as the officiating Supervisor to facilitate thesis submission.

In absence of a Co Supervisor from Deemed to be University, if DRC and SRC fail to appoint an officiating Supervisor, the DRC Chairman would assume the position of officiating supervisor for the purpose.

In case no request for continuation is received from outgoing Supervisor by due date, then D/CRC Chairperson shall initiate the process of change of Supervisor in consultation with erstwhile SRC members and the scholar. The erstwhile SRC members may be given preference for appointment as a new Supervisor.

- 6.2. A Supervisor under exceptional circumstances may place a request to relinquish a student to the DRC/CRC, in consultation with the SRC. The DRC/ CRC Chairperson shall initiate the process of change of Supervisor in consultation with SRC members.
- 6.3. In all such matters, the final approving authority will be the DRC/CRC. However, in exceptional cases prior to final approval, the matter will be placed before the Doctoral Programme Advisory Committee (DPAC) of Deemed to be University for its specific views and recommendations.
- 7. Admission of International students in Ph.D. programme:
 - 7.1. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 5.4 above.

G. Ph.D. coursework requirements⁷:

- 8. The Ph.D. coursework shall be treated as a pre-requisite for Ph.D.
 - 8.1. In order to overcome any deficiency in the domain of fundamental training for advanced work, several courses are offered across disciplines taught at the Deemed to be University.
 - 8.2. The credit assigned to the Ph.D. course work shall be a minimum of 12 credits. The Student Research Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme
 - 8.3. The course requirement will be prescribed by the DRC/CRC on the recommendations of the SRC. In order to fulfil the coursework requirement, a student will be required to take the following few courses:
 - 8.3.1.Research Methodology (Mandatory) 3 credits
 - 8.3.2. Research and Publication Ethics 2 credits (Credit)
 - 8.3.3. Quantitative methods (Minimum 2 credits is required)⁸
 - 8.3.4.Other advanced level courses may be prescribed by SRC after considering the student's background in relation to the proposed topic of research9
 - 8.4. After completion of the prescribed coursework, including the Research Methodology and quantitative method, a combined assessment of fulfilment of the requisite credit earned and grades thereof will be carried out and finalized by the SRC. The final grades shall be communicated to Registrar of the Deemed to be University.
 - 8.5. A Ph.D. scholar must obtain a minimum of 65% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.
 - 8.6. The Ph.D. course work must be completed within the first two semesters of joining the programme.

Note - There are no exemptions to the above provisions

H. Research proposal defence:

- 9. A student will be permitted to appear for defending the research proposal only after he/she has completed the Ph.D. course work as decided by the SRC and defined in G.7.
 - 9.1. As a part of the research proposal defence, a draft research proposal must be prepared by the student in consultation with the Supervisor(s). The Supervisor will circulate the draft proposal to a panel comprising of the SRC members and other invited members,

Adapted from section 7 of UGC gazette notification F.no. 14-4/2016(PS) dated 5 May 2016
The acceptable list of quantitative methods courses under this category will be prepared by the respective Departments/Centres

⁹ A list of courses will be prepared by the Department as PhD courses.

- if any, and schedule the research proposal defence activity after keeping a gap of at least 10 days for their review.
- 9.2. The panel may recommend/not recommend the proposal. And, in case of non-recommendation, student will be asked to repeat the research proposal defence.
- 9.3. After the satisfactory defence, the student will submit his/her final research proposal and related documents to the DRC/CRC with due approval from the Supervisor. The final research proposal must be submitted to the DRC/CRC within a period of 24 months from the date of registration in the Ph.D. programme¹⁰ and not later than that under any circumstances
- 10. The student's evaluation will be based on an oral presentation and the accompanying draft research proposal that should broadly include its proposed title, introduction and literature review, rationale for research (through identification of gaps etc.), research question(s)/hypotheses, objectives, /proposed methodology, expected outcomes and proposed timeline. The presentation should also list the Ph.D. courses completed, grades obtained, and any other research-related activities undertaken by the student
- 11. Changes in the Research Proposal approved in the research proposal defence:
 - 11.1. If, any major changes are suggested in the research proposal due to exceptional circumstances, as assessed by the SRC, including, but not limited to the topic, objectives and methodology, the SRC may recommend submission of a revised research proposal to be followed up by a fresh research proposal defence and same should be communicated to the DRC/CRC.

I. Attendance requirements for Ph D students:

- 12. The attendance requirement for Ph.D. students shall be as follows:
 - 12.1. A Ph.D. student, whether full-time or part-time, is expected to attend all the classes in each course, in which he/she is registered. In case his/her attendance is less than 75%, he/she will be debarred from the test/examination for the course and will be awarded an Ab Grade.
 - 12.2. A research scholar, after having completed the course work, must attend to his/her research work on all the working days and mark attendance except when s/he is on leave or any official work as approved by the Supervisor.

J. Grant of leave to Ph.D. students:

- 13. The leave regulations for Ph.D. students shall be as follows:
 - 13.1. During the course work, a full-time Ph.D. student, during his/her stay at the Deemed to be University will be entitled to a leave for 30 days, including leave on medical grounds, per academic year. However, the leave will be granted subject to the approval of Supervisor.

 $^{^{10}}$ To avoid unnecessary delay a pre-proposal defence may be held at least 6 months prior to the deadline

- 13.2. After completing the course work a full-time Ph.D. student during his/her stay at the Deemed to be University, will be entitled to leave for 30 days per academic year. In addition, a Ph.D. scholar who has completed his/her course work may be granted leave on medical grounds up to 10 days per academic year.
- 13.3. The female Ph.D. scholars are entitled to maternity leave/childcare leave and male Ph.D. scholar to paternity leave once in the entire duration of the Ph.D. programme for up to 240 days and 15 days, respectively.

K. Research committees and their functions:

- 14. Student Research Committee (SRC)11:
 - 14.1. A Student Research Committee (SRC) shall be formed for each Ph.D. student with Supervisor as convenor.
 - 14.2. Students Research Committee (SRC) Composition
 - 14.2.1. Supervisor Convenor and Co-Supervisor, if any
 - 14.2.2. At least two faculty members from the Deemed to be University, with at least one from the Department
 - 14.2.3. The convenor may co-opt any other external expert as an SRC member or Co-Supervisor
 - 14.3. Following are the main roles and responsibilities of SRC:
 - 14.3.1. To review the research proposal and finalize the topic of research under consideration;
 - 14.3.2. To advise the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to undertake;
 - 14.3.3. To periodically review and assist in the progress of the research work of a research scholar;
 - 14.3.4. To advise and monitor the progress of the doctoral scholar periodically
 - 14.4. Ph.D. scholar shall appear before the SRC at least once in each semester so as to make a presentation of the progress of his/her work for evaluation and further guidance. The semester progress report shall be submitted by the SRC to the Dean (Academics) through DRC/CRC.
 - 14.5. An 'X' grade will be awarded along with the comments received for that semester if the progress is 'satisfactory'. In case the progress of the research scholar is unsatisfactory ('U'), the SRC shall record the underlying reasons for the same and suggest corrective measures. If, the research scholar fails to implement these corrective measures, the SRC may recommend a 'U' grade along with comments. When a 'U' grade is awarded for the first time, a warning will be issued to the student. If, his/her performance does not improve even after the warning, on receiving a total of three 'U' grades or two consecutive 'U' grades, the student will be de-registered from the Ph.D.

¹¹ The role and function of SRC is equivalent to the Research Advisory Committee as laid out in section 8 of UGC gazette notification F.No.14-4/2016(PS) dated 5 May 2016

- programme. On receiving a total of three 'U' grades or two consecutive 'U' grades, the student will be deregistered from the Ph.D. programme.
- 14.6. An Absent "ab" grade to be awarded on proper medical exigencies or medical ground supported by evidence
- 14.7. The progress of Ph.D. research work will be discussed in the DRC/ CRC as per the semester schedule.
- 14.8. The above process will continue until thesis submission.
- 14.9. In the event of the supervisor being unavailable for supervision, the SRC will recommend to the DRC/CRC another faculty member nomination as per the provisions given in F.6.1 and F.6.2.
- 15. Department/Centre Research Committee (DRC/CRC):
 - 15.1. Department/Centre Research Committees are to be formed by each Department/Centre with the following composition and functions:
 - 15.2. Composition
 - 15.2.1. Head of Department/Centre Chairperson
 - 15.2.2. Faculty members of the Department/Centre supervising Ph.D. scholars
 - 15.2.3. Secretary To be nominated by Chairperson

15.3. Roles and responsibilities

- 15.3.1. The academic programme of all the Ph.D. students in a Department/Centre will be coordinated by the DRC/CRC as per the rules and regulations of the Deemed to be University upon recommendation of the SRC.
- 15.3.2. Prepare and periodically review the research plans of the Department/Centre, such that these align with the overall vision of the Deemed to be University.
- 15.3.3. Discuss and periodically review the research plans and objectives of each faculty member in the Department/Centre, such that they align with the overall vision of the Department/Centre/Deemed to be University.
- 15.3.4. Ensure/monitor functioning of SRC.

L. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.:

- 16. The procedure with respect to the above shall be as follows:
 - 16.1. The Ph.D. scholar may submit his/her thesis at any time provided that s/he has completed the minimum period of registration and S/he has completed the course work requirement as prescribed by the DRC/CRC on the recommendations of the SRC with a CGPA not below 7.0 and has also successfully defended his/her research proposal.
 - 16.2. Prior to the thesis submission, the scholar shall make a presentation in the Department before the SRC, which shall also be open to all the faculty members and other research scholars of the Deemed to be University. Relevant feedback and comments obtained from them may be suitably incorporated into the draft thesis in due

- consultation with SRC. The minutes and the action taken report (ATR) shall be submitted to the Dean (Academics) within six months of time from the presentation.
- 16.3. In addition to the thesis the scholar is required to submit a synopsis document, duly approved by SRC. The synopsis document summarises the thesis, which essentially introduces the potential examiner(s) to the objectives, methodology, major findings along with an outlined structure of the thesis.
- 16.4. Ph.D. scholars must have a published record or a proof of acceptance for publication of at least one (1) research paper in Scopus indexed/SCI/AHCI/SSCI journals, which is a related to their Ph.D research (review paper will not be counted for this purpose) and having made two paper/poster presentations in conferences/seminars OR two (2) research papers in Scopus indexed/SCI/AHCI/SSCI journals which are related to their Ph.D. research (review paper will not be counted for this purpose) before submission of the thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 16.5. The thesis will be scrutinized for plagiarism if any using appropriate scientific software for detection of similarity. While submitting for evaluation, the thesis shall have an undertaking from the research scholar regarding the originality of the work presented, vouching that there is no plagiarism ¹² and that the work has not been submitted for the award of any other degree/diploma of the TERI SAS where the work was carried out, or to any other Institution.
- 16.6. The thesis shall be written in English in the specified format and shall contain a critical account of the student's research. It should be characterized by discovery of facts or a fresh approach towards the interpretation of facts and theories or a significant contribution to the knowledge of design or development, or a combination of them. It should bear evidence of the student's capacity for analysis and judgment, and his/her ability to carry out independent investigation, design, or development. No part of the thesis, or supplementary published work, shall have been submitted for the award of any other degree. Three copies of thesis in a soft cover shall have to be submitted by scholar in a prescribed format. In case of joint supervision, additional copies of the thesis are required to be submitted. Additionally, a soft copy of the thesis shall be submitted.
- 16.7. The Ph.D. thesis submitted by the scholar shall be evaluated by an approved Board of Examiners. The supervisor(s) shall provide a list of at least eight potential examiners of international repute (at least four from India), who are not in employment of TERI SAS to the Dean (Academics). If required, Dean (Academics) may request for additional information about the potential examiners or an additional list of the potential examiners, to be forwarded to the Chairperson, Academic Council.

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¹² Excluding part of the own Ph.D. work published in journals or conference proceedings

- 16.8. Subsequently, the Chairperson, Academic Council, will appoint a Board of Examiners for each student. The Board will consist of his or her research supervisor(s) (internal examiners) and at least two external examiners of whom one examiner may be from outside the country.
- 16.9. Each examiner will submit a detailed assessment report, preferably within two months but not exceeding three months, recommending to the Chairperson, Academic Council, one of the following courses of action:
 - 16.9.1. That the thesis is deemed satisfactory and that the student may defend his/her thesis orally before a committee constituted for the purpose and any members of the faculty and research students who wish to be present.
 - 16.9.2. That the student may submit a revised thesis.
 - 16.9.2.1. In normal circumstances, s/he may submit the revised thesis within a period of one year from the date of communication in this regard from the Chairperson, Academic Council.
 - 16.9.2.2. However, in exceptional circumstances, this period may be extended by the Chairperson, Academic Council by another one year; the total revision time, irrespective of the number of revisions allowed, will not exceed a period of two years.
 - 16.9.3. The thesis may be out rightly rejected due to specific reasons as given in the detailed report.
- 16.10. In the event of any disagreement arising between the external examiners, the Chairperson, Academic Council, may, as a special case, appoint yet another external examiner, if, the merit of the case so demands. The examiner thus appointed will report independently to the Chairperson, Academic Council.
- 16.11. The oral defence of the thesis shall be conducted by an Oral Defence Committee consisting of the internal examiner(s) and at least one external examiner. If, for some reasons, neither of the external examiners is not available for the conduct of the oral defence, an alternative external examiner shall be appointed by the Chairperson, Academic Council. Chairperson, DRC/CRC (or his/her nominee) shall be the non-member convenor of the Oral Defence Committee.
- 16.12. On completion of all stages of the examination, the Convenor, Oral Defence Committee shall recommend to the Chairperson, Academic Council, along with a report of the Oral Defence Committee duly signed by all its members, one of the following courses of action.
 - 16.12.1. That the degree be awarded.
 - 16.12.2.That the student should be examined further on another occasion in a manner that they shall prescribe.
 - 16.12.3. That the degree shall not be awarded.

- 16.13. In case of (L.16.12.2), the Oral Defence Committee shall also provide the student a list of all the desired corrections and modifications, if any, suggested by the examiners.
- 16.14. The degree shall be awarded upon approval by the Board of Management, provided that:
 - 16.14.1. The Oral Defence Committee, so recommends;
 - 16.14.2.The student produces a 'no dues certificate' from all those concerned in the prescribed form and gets it forwarded by the supervisor; and
 - 16.14.3.The student has submitted three hard-bound copies of the thesis, after incorporating all necessary corrections and modifications in the version submitted earlier and duly certified by the Supervisor(s), after the viva voce examination (one of the copies is to be kept at TERI SAS library.)
- 16.15. Candidates will be awarded Ph.D. degree with the title of thesis irrespective of the discipline or department of graduation.
- 16.16. Prior to the actual award of the degree, a provisional Certificate shall be issued by deemed to be university upon successful completion of L.16.14.1-L.16.14.3.

M. Award of Ph.D. degrees prior to notification of these regulations, or degrees awarded by foreign Universities:

17. These regulations are applicable for the all those students registered after notification of this regulation. Award of degrees to the candidates registered for the Ph.D. programme on or before the date of notification of these regulations, shall be governed by the earlier regulations under which initial admission has been granted.

N. Depository with INFLIBNET:

- 18. As mandated by UGC, the following norms shall be followed:
 - 18.1. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the Librarian, TERI SAS shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all the enlisted Universities/Institutions/Colleges.

Annexure I

- 1. Admission will be made on the basis of a written test/National Eligibility Test (NET) score followed by an interview (as appropriate) conducted by the Deemed to be University. Candidates may however apply at any time throughout the year. Nonetheless, the applications are normally processed in two cycles for semesters commencing in July and January months each year.
- 2. Candidates who may have qualified the national level tests including UGC-CSIR NET (JRF), and candidates with valid GATE score, etc., can appear directly in the interview at any point given the admissions are open. For such candidates their merit score will be based on 100% weightage assigned to interview marks.
- 3. For the NET scored candidates, they are eligible for PhD admission in three categories.

Qualified for	Eligible for	
	JRF	PhD Admission
Category I (Award of JRF)	Yes	Yes
Category II (Admission to PhD)	No	Yes
Category III (Admission to PhD only)	No	Yes

- a. While category I details have been spelt out above, students who qualify in Categories 2 and 3, 70% weightage will be given for test scores, 30% weightage for the interview for admission to Ph.D. program. The Ph.D. admission will be based on the combined merit of NET marks and the marks obtained in the Interview.
- b. The marks obtained in the NET by the candidates in Category 2 and 3 will be valid for a period of one year for admission to Ph.D. Programs.
- c. The Deemed to be University may hold entrance examination for those Ph.D. programs where NET examination in the concerned subjects/disciplines are not conducted by UGC.
- d. Entrance examination for applicants who have not cleared NET in Category 1,2 and 3 but still would like to pursue the PhD program in any of the discipline (including disciplines covered under NET) could be conducted by the Deemed to be University. This will be followed by regular interview.
- e. For Category 2 & 3, a minimum cut off to be set in the NET score for interview at Department level.
- f. Number of seats be defined for admission in Ph.D. programmes for NET qualified candidates and non-NET qualified candidates at Department level.